

Application Source:

File Type: Delimited

File Group:

Reinsurance Year: 2011

Record Name: Insurance In Force

Version: DRAFT

Record Code: P14

Release Date: 8/29/2011

<u>Record Number</u>	<u>Output</u>	<u>Field Number</u>	<u>Field Name</u>	<u>Data Type</u>	<u>Max Length</u>	<u>Format</u>	<u>BUS Key</u>	<u>Req?</u>	<u>Rules</u>
P14		1	AIP Code	Character	2		Y	Y	AIP Code must be valid; edit with the AIP ICE, "D00100".
P14		2	Reinsurance Year	Numeric	4	CCYY	Y	Y	Reinsurance Year must equal "2011".
P14		3	Record Type Code	Character	6			Y	Record Type Code must equal "P14".
P14		4	AIP Policy Producer Key	Character	15		Y	Y	AIP Policy Producer Key must match the corresponding primary Policy Producer, "P10" record.
P14		5	AIP Insurance In Force Key	Character	15			Y	AIP Insurance In Force Key must be unique within the AIP Policy Producer Key.
									AIP Insurance In Force Key must not be reassigned once used within the AIP Policy Producer Key.
P14		6	AIP Insurance Agent Key	Character	15			Y	AIP Insurance Agent Key must match the corresponding Insurance Agent, "P55" record.
									AIP Insurance Agent Key must refer to an active Agent "P55" record.
P14		7	Location County Code	Character	3		Y	Y	Location County Code must be valid: edit with the County ADM, "A00440".
P14		8	Insurance Plan Code	Character	2		Y	Y	Insurance Plan Code must be valid; edit with the Insurance Plan ADM, "A00460".
P14		9	Commodity Code	Character	4		Y	Y	Commodity Code must be valid; edit with the Commodity ADM, "A00420".
P14		10	Commodity Year	Numeric	4	CCYY	Y	Y	Commodity Year must be between the Reinsurance Year plus one and the Reinsurance Year minus one.

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P14		11	Type Code	Character	3		Y		Type Code must be valid; edit with Type ADM "A00540".
									Type Code is required when Commodity Code equals Table Grapes, "0052" or Grapes, "0053".
									Type Code is required if necessary to allow multiple Coverage Level Percents or Price Election Percents for the Location State Code/Location County Code/Commodity Code. <del>edit with Allow Multiple Insurance in Force ICE, "D00027".</del>
									Type Code is required when Commodity Code equals Nursery, "0073" or PRF, "0088".
									Type Code for commodities with more than one sales closing date may be used to determine eligibility and LRR transaction cutoff date based on sales closing date where multiple dates exist.
P14		12	Practice Code	Character	3		Y		Practice Code is required when Commodity Code equals Nursery, "0073".
									Practice Code must be valid; edit with the Practice ADM, "A00510".

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									Practice Code is required if necessary to determine the Sales Closing Date; if not submitted the earliest Sales Closing Date for the Location State Code/Location County Code/Commodity Code/Insurance Plan Code will be used to establish the Fund Cut Off Date.
P14		13	Commodity Type Code	Character	3				Commodity Type Code must be valid; edit with the Commodity Type ADM, "A00430".
P14		14	Class Code	Character	3				Class Code must be valid; edit with the Class ADM, "A00410".
P14		15	Sub Class Code	Character	3				Sub Class Code must be valid; edit with the Sub Class ADM, "A00530".
P14		16	Intended Use Code	Character	3				Intended Use Code must be valid; edit with the Intended Use ADM, "A00470".
P14		17	Irrigation Practice Code	Character	3				Irrigation Practice Code must be valid; edit with the Irrigation Practice ADM, "A00490".
P14		18	Cropping Practice Code	Character	3				Cropping Practice Code must be valid; edit with the Cropping Practice ADM, "A00450".
P14		19	Organic Practice Code	Character	3				Organic Practice Code must be valid; edit with the Organic Practice ADM, "A00500".
P14		20	Interval Code	Character	3				Interval Code must be valid; edit with the Interval ADM, "A00480".

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P14		21	WA Number	Character	9	999999999			WA Number must be valid; edit with the ICE RO-Exceptions Written Agreement Table.
									WA Number must be empty when Commodity Code equals Nursery "0073" or-Clams, "0116" or Avocados, "0119". Aquaculture "0118"
									WA Number can not be changed after the Fund Designation Cutoff Date.
									WA Number must contain only numeric characters.
									WA Number must not equal "000000000".
P14		22	Coverage Type Code	Character	1		Y	Y	CoverageType Code must be valid; edit with Coverage ICE "D00016".
									Coverage Type Codes for the entire County must be designated as CAT when CAT is selected for Non Californial grapes (0053).
P14		23	Late Reported Reason Code	Character	2				Late Reported Reason Code must be valid; edit with Late Reported Reason ICE, "D00006".
									Late Reported Reason Code is required if submitted after LRR Cutoff Date.

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									Late Reported Reason Code must be valid; edit with Late Reported Reason ICE "D00006" when Timely Submission Flag equals "N" and the LRR Code is not equal to "00".
									Late Reported Reason Code equal Alternative Commodity, "05" requires that the Alternative Commodity, Coverage Code must equal Catastrophic, "C".
									Late Reported Reason Code equal Changes to Price or Coverage Level when insured did not plant fall type, "06" requires that the Commodity has Spring & Fall Sales Closing Dates; edit with the ADM ??? An Insurance In Force, "P14" record with a Fall Sales Closing Date was accepted but the fall commodity was not planted and no Acreage, "P11" record was accepted for the commodity. A new Insurance In Force, "P14" record may be submitted for the spring commodity with changes to the Price Indicator Code or Coverage Level Percent.

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									Late Reported Reason Code equal Subsequent Application For Multiple County, "07" requires that the Added County Indicator Code must equal Subsequent County, "S".
									Late Reported Reason Code, equals multiple Insurance In Force, "P14" records submitted, "08" requires that multiple Insurance In Force, "P14" records exist for the Policy/Location County Code/Commodity Code.
									<del>Late Reported Reason Code equal SII, "09" requires that the corresponding Policy Producer, "P10" record has a SII Application Date and a SII Prior Policy Number.</del>
									Late Reported Reason Code equal Revised Coverage Level, Market Price Indicator Code or Price Election Percent, "10", allows changes only to Coverage Level Percent, Market Price Indicator Code, or Price Election Percent after the LRR Transaction Cutoff Date.

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									Late Reported Reason Code is Required when LRR Transaction Code is not one of the following values: Timely Accepted, "01" or Modified after Lockdown to Lockdown Price Election, Coverage Level and Price Indicator, "07".
									Late Reported Reason Code equal to Successor-In-Interest, "09" cannot be changed once accepted.
									Late Reported Reason Code must not equal "00" when the Batch Received Date is greater than or equal to the LRR Transaction Cutoff Date and the LRR Code is not equal to "00".
P14		24	Dual Coverage Flag	Character	1		Y		Dual Coverage Flag must be Yes, "Y" when the Coverage Code equals Catastrophic, "C" and a non-high risk buy-up policy exists for the Primary Producer, otherwise No, "N".
									Dual Coverage Flag must equal No, "N" when the Commodity Code equals Nursery, "0073".
P14		25	Insured Commodity Signature Date	Date	8	CCYYMMDD	Y		Insured Commodity Signature Date must not be less than 1991.
									Insured Commodity Signature Date must not be greater than the Batch Received Date.

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									Insured Commodity Signature Date must not be greater than the modified Sales Closing Date unless Late Reported Reason Code is not equal to Timely Processed, "00".
									Insured Commodity Signature Date must be between the Contract Change Date minus one year and Batch Received Date when Contract Carryover Flag equals "N" and the Insurance Plan Code equals "40".
									Insured Commodity Signature Date must not be greater than the Modified Sales Closing Date unless a Late Reported Reason equal to "01" is submitted, or the Commodity Code equals New Florida Fruit Trees or Nursery, "0073".



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									Insured Commodity Signature Date must be between Contract Change Date minus one year and Batch Received Date when Contract Carryover Flag equals "N", the Location State Code equals "12" and the Commodity Code equals Orange Trees, "0207", Grapefruit Trees, "0208", Lemon Trees, "0209", Lime Trees, "0210", Other Citrus Trees, "0211", Avocado Trees, "0212", Crambola Trees, "0213" or Mango Trees, "0214".
									Insured Commodity Signature Date must be between March 14, 2010 and April 17 of the CommodityYear when the Location State Code equals "12" and the Commodity Code equals Orange Trees, "0207", Grapefruit Trees, "0208", Lemon Trees, "0209", Lime Trees, "0210", Other Citrus Trees, "0211", Avocado Trees, "0212", Crambola Trees, "0213" or Mango Trees, "0214" and the Contract Carryover Flag equals "Y".
P14		26	Agent Commodity Signature Date	Date	8	CCYYMMDD		Y	Agent Commodity Signature Date must not be less than 1991.
									Agent Commodity Signature Date must not be greater than the Batch Received Date.

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									Agent Commodity Signature Date must not be greater than the modified Sales Closing Date unless Late Reported Reason Code is not equal to Timely Processed, "00".
P14		27	Fee Prepaid Flag	Character	1			Y	Fee Prepaid Flag must be Yes, "Y" when fees were prepaid before the due date, otherwise No, "N".
									Fee Prepaid Flag must be No, "N" when Coverage Code equals Catastrophic, "C".
P14		28	FSA <b>Administrative</b> State Code	Character	2				FSA <b>Administrative</b> State Code must be valid; edit with the State ADM, "A00520".
P14		29	FSA <b>Administrative</b> County Code	Character	3				FSA <b>Administrative</b> County Code must be valid; edit with the County ADM, "A00440".
<b>P14</b>		<b>30</b>	<b>FSA RMA Entity Difference Code</b>	<b>Character</b>	<b>2</b>				<b>FSA RMA Entity Difference Code must be valid; edit with the FSA RMA Entity Difference ICE, "D00112".</b>
P14		<b>31</b>	CIMS Information Code	Character	1				Reserved
P14		<b>32</b>	Administrative Fee Waiver Code	Character	1				Administrative Fee Waiver Code must be valid; edit with the Administrative Fee Waiver ICE, "D00030".

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P14		33	Cancellation Transfer Signed Flag	Character	1			Y	Cancellation Transfer Signed Flag must be Yes, "Y" if the Primary Producer signed a Cancellation and Transfer Application, otherwise No, "N".
P14		34	Coverage Level Percent	Numeric	6	9.9999		Y	Coverage Level Percent must be valid; edit with the Coverage Level ICE, "D00024".
P14		35	Price Election Percent	Numeric	6	9.9999		Y	Price Election Percent must be valid; edit with Price Election Percent ICE, "D00007".
									Price Election Percent must equal 1.00 when the Insurance Option Code List contains "CE", "CL", "CH", "CV" or "HF".
P14		36	Dispute Settlement Code	Character	1				Dispute Settlement Code must be valid; edit with the Dispute Settlement ICE, "D00013".
P14		37	Dispute Notification Date	Date	8	CCYYMMDD			Dispute Notification Date is required when Dispute Settlement Code is not empty.
									Dispute Notification Date must be empty when Dispute Settlement Code is empty.
									Dispute Notification Date must equal a valid date within the Reinsurance Year.
P14		38	Insurance Option Code List	Character	29				Insurance Option Code List must be valid; edit with the Option Rate ADM, "A01060".
P14		39	Price Indicator Code	Character	1			Y	Price Indicator Code must be valid; edit with the Price Indicator ICE, "D00034".

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									Price Indicator Code must equal Additional Price, "A" or Established Price, "E" when the Insurance Plan Code equals Yield Based Dollar Amount of Insurance, "55".
									Price Indicator Code must equal Additional Price, "A" or Established Price, "E" when the Insurance Plan Code equals APH for Non-Combo Commodities, "90" except when Commodity Code equals Peanuts, "0075" or Hawaii Tropical Fruit Trees, (0255, 0256, and 0257) which require Price Indicator Code equal to Established Price, "E".
P14		40	Added County Indicator Code	Character	1				Added County Indicator Code must be valid; edit with the Added County Indicator ICE, "D00003".
									Added County Indicator Code is only valid with Category "B" Commodity Codes excluding Forage Production, "0033"; edit with the Commodity Category ICE, "D00047".
									Added County Indicator Code equal National "N" is allowed for only one AIP Code/Commodity Code/Insurance Plan Code.

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									Added County Indicator Code equal Primary, "P" is allowed only once per Location State Code/Commodity Code/Insurance Plan Code and <b>when</b> no National "N" exists for the Commodity Code/Insurance Plan Code.
									Added County Indicator Code equal Subsequent, "S" is allowed only with a corresponding Primary, "P" record for the Location State Code/Commodity Code/Insurance Plan Code.
									Added County Indicator Code equal Subsequent, "S" is allowed only when there is no Insurance In Force "P14" record for the Location State Code/Location County Code for the current Commodity Year.
									<b>Added County Indicator Code equal Subsequent, "S" is allowed only when a Primary Fund Code has been previously accepted, the Coverage Type Code does not equal Catastrophic, "C" and WA Number is empty.</b>
									Added County Indicator Code is used to determine LRR and can be added after Sales Closing Date.

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									Added County Indicator Code must have a previously accepted Primary Insurance In Force, "P14" record.
									Added County Indicator Code does not allow for another Insurance In Force, "P14" record with a different Commodity for the same Reinsurance Year, AIP Code, Policy Number, Commodity Year, State Code and County Code as this would not qualify for Added County.
									Added County Indicator Code allows only one Insurance in Force, "P14" record when the Added County Indicator Code equals Primary, "P" or National, "N" or is empty and the Commodity Code does not equal Grapes, "0053", Dry Beans, "0047", Dry Peas "0067", Nursery, "0073", Pasture, Rangeland and Forage (PRF), "0088", Florida Citrus Trees or a Speciality Type and the Location State Code does not equal "04" or "06" and the Commodity Code equals "0052" when one Type Code/Practice Code is submitted for Group Plans ("04", "05" and "06").

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P14		41	Added County AIP Policy Producer Key	Character	15				Added County AIP Policy Producer Key is required when Added County Indicator Code equals Subsequent "S", otherwise empty.
									Added County AIP Policy Producer Key must equal the corresponding Primary "P" or National "N" Policy Producer, "P10" when Added County Indicator Code equals Subsequent, "S".
P14		42	Added County AIP Insurance In Force Key	Character	15				Added County AIP Insurance In Force Key is required when the Added County Indicator Code equals Subsequent "S", otherwise empty.
P14		43	Policy History Request Code	Character	1				Policy History Request Code must equal Yes, "Y" for one year of history or Five, "F" for five years of history.
P14	*	44	Valid Commodity Type Code	Character	3				Valid Commodity Type Code is populated from the Valid Commodity Type Code in the Insurance Offer ADM, "A00030".
P14	*	45	Valid Class Code	Character	3				Valid Class Code is populated from the Class Code in the Insurance Offer ADM, "A00030".

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P14	*	46	Valid Sub Class Code	Character	3				Valid Sub Class Code is populated from the Sub Class Code in the Insurance Offer ADM, "A00030".
P14	*	47	Valid Intended Use Code	Character	3				Valid Intended Use Code is populated from the Intended Use Code in the Insurance Offer ADM, "A00030".
P14	*	48	Valid Irrigation Practice Code	Character	3				Valid Irrigation Practice Code is populated from the Irrigation Practice Code in the Insurance Offer ADM, "A00030".
P14	*	49	Valid Cropping Practice Code	Character	3				Valid Cropping Practice Code is populated from the cropping Practice Code in the Insurance Offer ADM, "A00030".
P14	*	50	Valid Organic Practice Code	Character	3				Valid Organic Practice Code is populated from ADM Insurance Offer Organic Practice Code
P14	*	51	Valid Interval Code	Character	3				Valid Interval Code is populated from the Interval Code in the Insurance Offer ADM, "A00030".
P14	*	52	Lockdown Insurance Plan Code	Character	2				Lockdown Insurance Plan Code will be the Insurance Plan Code submitted by the AIP at the later of the LRR Lockdown Date or the Initial Accepted Date.

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P14	*	53	Lockdown Price Election Percent	Numeric	6	9.9999			Lockdown Price Election Percent will be the Price Election Percent submitted by the AIP at the later of the LRR Lockdown Date or the Initial Accepted Date.
P14	*	54	Lockdown Coverage Level Percent	Numeric	6	9.9999			Lockdown Coverage Level Percent will be the Coverage Level Percent submitted by the AIP at the later of the LRR Lockdown Date or the Initial Accepted Date.
P14	*	55	LRR Percent	Numeric	4 5	9.99 :9999			LRR Percent is the reduction percent for the later of the Lockdown Date or Batch Received Date, otherwise empty.
P14	*	56	Lockdown Price Indicator Code	Character	1				Lockdown Price Indicator Code will be the Price Indicator Code submitted by the AIP at the later of the LRR Lockdown Date or the Initial Accepted Date.
P14	*	57	LRR Code	Character	2				LRR Code must be valid; edit with the LRR ICE, "D00014".
P14	*	58	LRR Transaction Cutoff Date	Date	8	CCYYMMDD			LRR Transaction Cutoff Date is the Transaction Cutoff Date for the week containing the 30th day of the month after the Transaction Cutoff Date.

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P14	*	59	LRR Change Date	Date	8	CCYYMMDD			LRR Change Date is the last date the Price Election Percent, Price Indicator Code or Coverage Level Percent was modified on the Insurance In Force, "P14" or the Tax ID, Tax ID Type Code or Entity Type Code was modified on the Policy Producer, "P10" after the LRR Transaction Cutoff Date.
P14	*	60	LRR Transaction Code	Character	2				LRR Transaction Code must be valid; edit with the LRR Transaction Code ICE, "D00046".
P14	*	61	LRR Record Accepted Date	Date	8	CCYYMMDD			LRR Record Accepted Date is the date this Insurance In Force, "P14" record was accepted for LRR edits.
P14	*	62	Ineligible SBI Share Percent	Numeric	5	9.999			Ineligible SBI Share Percent must be greater than zero when SBI is ineligible.
P14	*	63	Duplicate Policy Code	Character	1				Duplicate Policy Code must be valid; edit with the Duplicate Policy ICE, "D00010".
P14	*	64	Duplicate AIP Code	Character	2				Duplicate AIP Code is the code for the AIP with the corresponding duplicate policy.
P14	*	65	Duplicate PIC Code	Character	3				Duplicate PIC Code is the PIC Code on the corresponding duplicate policy.
P14	*	66	Duplicate Policy Number	Character	7				Duplicate Policy Number is the Policy Number of the corresponding duplicate policy.

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Release Date: 8/29/2011

<u>Record Number</u>	<u>Output</u>	<u>Field Number</u>	<u>Field Name</u>	<u>Data Type</u>	<u>Max Length</u>	<u>Format</u>	<u>BUS Key</u>	<u>Req?</u>	<u>Rules</u>
P14	*	67	Contract Carryover Flag	Character	1				Contract Carryover Flag equals Yes, "Y" when the producer was insured for the AIP Code/Location State Code/Location County Code/Commodity Code/Insurance Plan Code in the previous year, otherwise it equals No, "N".
P14	*	68	Eligibility Code	Character	8				Eligibility Code is the returned values from the Ineligible Tracking System.
P14	*	69	Producer History Code	Character	6				Producer History Code must be valid; edit with the Producer History ICE, "D00031".
P14	*	70	Primary Fund Code	Character	1				Primary Fund Code must equal the Primary Fund Code from the Fund Designation, "P09" record if submitted; otherwise, Commercial "C".
P14	*	71	Initial Accepted Batch Number	Numeric	4	9999			Initial Accepted Batch Number is the original sequence number assigned when this record was first received at RMA.
P14	*	72	Initial Accepted Date	Date/Time	21	CCYYMMDD hh:mm:ss.fff			Initial Accepted Date is the original date assigned when this record was first received at RMA.
P14	*	73	Batch Received Date	Date/Time	21	CCYYMMDD hh:mm:ss.fff			Batch Received Date is the date that the AIP file is received by RMA.
P14	*	74	Batch Number	Numeric	4	9999			Batch Number is a sequential number assigned when an AIP file is received.

"" = Output Only

8/29/2011

**Application Source:**

**File Type:** Delimited

**File Group:**

**Reinsurance Year:** 2011

**Record Name:** Insurance In Force

**Version:** DRAFT

**Record Code:** P14

**Release Date:** 8/29/2011

<u>Record Number</u>	<u>Output</u>	<u>Field Number</u>	<u>Field Name</u>	<u>Data Type</u>	<u>Max Length</u>	<u>Format</u>	<u>BUS Key</u>	<u>Req?</u>	<u>Rules</u>
P14	*	75	Batch Record ID	Numeric	15				Batch Record ID is a sequential number assigned to each record in the AIP file by RMA during processing. Batch Record ID is unique within the record type for the batch.
P14	*	76	Process Result Code	Character	1				Process Result Code equals the final result code as determined by PASS processing, refer to the Process Result ICE , "D00002".

## Record Level Rules

Insurance in Force "P14" records will be rejected if there is no accepted corresponding Policy Producer, "P10" record.

To avoid incurring LRR, an Insurance In Force, "P14" with the following elements must be accepted before the LRR Cutoff Date: AIP Code, Location State Code, Policy Number, Commodity Code, Commodity Year, Insurance Plan Code, Location County Code, Coverage Type Code, Late Reported Reason Code, Dual Coverage Flag, Contract Carryover Flag, Coverage Level Percent, Price Election Percent, and Price Indicator Code.

**Application Source:**

**File Type:** Delimited

**File Group:**

**Reinsurance Year:** 2011

**Record Name:** Insurance In Force

**Version:** DRAFT

**Record Code:** P14

**Release Date:** 8/29/2011

<u>Record</u> <u>Number</u>	<u>Output</u> <u>Number</u>	<u>Field</u> <u>Number</u>	<u>Field Name</u>	<u>Data Type</u>	<u>Max</u> <u>Length</u>	<u>Format</u>	<u>BUS</u> <u>Key</u>	<u>Req?</u>	<u>Rules</u>
									The Added County information contained on the Insurance In Force, "P14" record is for determining LRR, Added County reference can be added after Sales Closing Date.
									When the Insurance In Force, "P14" record is submitted without a corresponding Fund Designation, "P09", the record will be assigned to the commercial fund.
									A separate MPCl policy is allowed for acreage grown under contract with different seed companies for hybrid seed corn or hybrid sorghum seed.
									An Insurance In Force, "P14" record must be submitted between 200100701 and 20150212
									An Insurance In Force, "P14" record will not be accepted when processing has been suspended for this record type per RMA directive.
									A proceeding Policy Producer, "P10" record in this batch must be accepted for the Insurance In Force, "P14" record to be accepted.
									The count of Record Type Code must be less than or equal to 2.

"" = Output Only

8/29/2011

**Application Source:**

**File Type:** Delimited

**File Group:**

**Reinsurance Year:** 2011

**Record Name:** Insurance In Force

**Version:** DRAFT

**Record Code:** P14

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<u>Record</u> <u>Number</u>	<u>Output</u>	<u>Field</u> <u>Number</u>	<u>Field Name</u>	<u>Data Type</u>	<u>Max</u> <u>Length</u>	<u>Format</u>	<u>BUS</u> <u>Key</u>	<u>Req?</u>	<u>Rules</u>
									Type Code or Practice Code must not be empty when multiple rows are submitted by AIP Policy Producer Key, Commodity Code, Location State Code, Location County Code, and Commodity Year with a varying Coverage Level Percent or Price Election Percent when the insurance plan Code equals Area Yield Protection "04", Area Revenue Protection "05", Area Revenue Protection with Harvest Price Exclusion "06".
									The P14 record must be unique in the batch using the following key: AIP Code, Reinsurance Year, Policy Number, Location State Code, Location County Code, Commodity Code, Coverage Type Code, Dual Coverage Flag and Type Code for Apples "0054" only if Type Code is not empty, Grapes "0053", CA/AZ Table Grapes, "0052", and PRF "0088".